## 4.1 Introduction to Eigenvalues and Eigenvectors

- 1. If  $A\mathbf{x} = \lambda \mathbf{x}$ , then  $\mathbf{x}$  is an eigenvector of A corresponding to  $\lambda$ . So, as in Example 4.1, since  $A\mathbf{v} = \begin{bmatrix} 0 & 3 \\ 3 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 3 \\ 3 \end{bmatrix} = 3 \begin{bmatrix} 1 \\ 1 \end{bmatrix} = 3\mathbf{v}$ , we see  $\mathbf{v}$  is an eigenvector of A corresponding to (the eigenvalue) 3.
- 2. If  $A\mathbf{x} = \lambda \mathbf{x}$ , then  $\mathbf{x}$  is an eigenvector of A corresponding to  $\lambda$ . So, as in Example 4.1, since  $A\mathbf{v} = \begin{bmatrix} 1 & 2 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} 3 \\ -3 \end{bmatrix} = \begin{bmatrix} -3 \\ 3 \end{bmatrix} = -1 \begin{bmatrix} 3 \\ -3 \end{bmatrix} = -1\mathbf{v}$ , we see  $\mathbf{v}$  is an eigenvector of A corresponding to (the eigenvalue) -1.
- 3. We compute  $A\mathbf{v} = \begin{bmatrix} -1 & 1 \\ 6 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ -2 \end{bmatrix} = \begin{bmatrix} -3 \\ 6 \end{bmatrix} = -3 \begin{bmatrix} 1 \\ -2 \end{bmatrix} = -3\mathbf{v}$ , we see  $\mathbf{v}$  is an eigenvector of A corresponding to (the eigenvalue) -3.
- 4. We compute  $A\mathbf{v} = \begin{bmatrix} 4 & -2 \\ 5 & -7 \end{bmatrix} \begin{bmatrix} 4 \\ 2 \end{bmatrix} = \begin{bmatrix} 12 \\ 6 \end{bmatrix} = 3 \begin{bmatrix} 4 \\ 2 \end{bmatrix} = 3\mathbf{v}$ , so  $\mathbf{v}$  is an eigenvector of A corresponding to the eigenvalue 3.
- 5. We compute  $A\mathbf{v} = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 1 & -2 \\ 1 & 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ -1 \\ 1 \end{bmatrix} = \begin{bmatrix} 6 \\ -3 \\ 3 \end{bmatrix} = 3 \begin{bmatrix} 2 \\ -1 \\ 1 \end{bmatrix} = 3\mathbf{v}$ , so  $\mathbf{v}$  is an eigenvector of A corresponding to the eigenvalue 3.
- **6.** We compute  $A\mathbf{v} = \begin{bmatrix} 0 & 1 & -1 \\ 1 & 1 & 1 \\ 1 & 2 & 0 \end{bmatrix} \begin{bmatrix} 2 \\ -1 \\ -1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} = 0\mathbf{v},$

so  ${\bf v}$  is an eigenvector of A corresponding to the eigenvalue 0.

9. As in Example 4.2, we show  $\operatorname{null}(A-I)\neq 0$  then compute  $\operatorname{null}(A-I)$  to find x.

Since  $A\mathbf{x} = \mathbf{x}$  implies  $(A - I)\mathbf{x} = \mathbf{0}$ , we have:

$$A - I = \begin{bmatrix} 0 & 4 \\ -1 & 5 \end{bmatrix} - \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} -1 & 4 \\ -1 & 4 \end{bmatrix}$$

Since the columns of A - I are clearly linearly dependent (because  $\mathbf{a}_2 = -4\mathbf{a}_1$ ), the Fundamental Theorem of Invertible Matrices implies that  $\text{null}(A - I) \neq \mathbf{0}$ . That is  $A\mathbf{x} = \mathbf{x}$  has a nontrivial solution, so 1 is an eigenvalue of A.

Since  $A\mathbf{x} = \mathbf{x}$  implies  $(A - I)\mathbf{x} = \mathbf{0}$ , we now compute null(A - I).

$$[A - I \mid \mathbf{0}] = \begin{bmatrix} -1 & 4 & 0 \\ -1 & 4 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} -1 & 4 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

So, if  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to the eigenvalue 1, then  $x_1 = 4x_2$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} 4x_2 \\ x_2 \end{bmatrix}$ . That is nonzero multiples of  $\mathbf{x} = \begin{bmatrix} 4 \\ 1 \end{bmatrix}$ 

Q: What does this tell us about  $\operatorname{null}(A-I)$ ? What about  $E_1$ ?

A: The above shows  $\operatorname{null}(A-I) = \operatorname{span}\left(\begin{bmatrix} 4\\1 \end{bmatrix}\right) = E_1$ , the eigenspace of 1.

10. As in Example 4.2, we show  $\text{null}(A-4I) \neq 0$  then compute null(A-4I) to find x.

Since  $A\mathbf{x} = 4\mathbf{x}$  implies  $(A - 4I)\mathbf{x} = \mathbf{0}$ , we have:

$$A - 4I = \begin{bmatrix} 0 & 4 \\ -1 & 5 \end{bmatrix} - \begin{bmatrix} 4 & 0 \\ 0 & 4 \end{bmatrix} = \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix}$$

Since the columns of A-4I are clearly linearly dependent (because  $\mathbf{a}_2=-\mathbf{a}_1$ ), the Fundamental Theorem of Invertible Matrices implies that  $\mathrm{null}(A-4I)\neq \mathbf{0}$ . That is  $A\mathbf{x}=4\mathbf{x}$  has a nontrivial solution, so 4 is an eigenvalue of A.

Since  $A\mathbf{x} = 4\mathbf{x}$  implies  $(A - 4I)\mathbf{x} = \mathbf{0}$ , we now compute null(A - 4I).

$$[A - 4I \mid \mathbf{0}] = \begin{bmatrix} 1 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

So, if  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to the eigenvalue 4, then  $x_2 = x_1$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_1 \end{bmatrix}$ . That is nonzero multiples of  $\mathbf{x} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ .

Q: What does this tell us about null(A-4I)? What about  $E_4$ ?

A: The above shows  $\operatorname{null}(A-4I)=\operatorname{span}\left(\left[\begin{array}{c}1\\1\end{array}\right]\right)=E_4,$  the eigenspace of 4.

11. As in Example 4.2, we show  $\text{null}(A+I) \neq 0$  then compute null(A+I) to find x.

Since  $A\mathbf{x} = -1\mathbf{x}$  implies  $(A + I)\mathbf{x} = \mathbf{0}$ , we have:

$$A + I = \begin{bmatrix} 1 & 0 & 2 \\ -1 & 1 & 1 \\ 2 & 0 & 1 \end{bmatrix} + \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 2 \\ -1 & 2 & 1 \\ 2 & 0 & 2 \end{bmatrix}$$

Since the columns of A + I are clearly linearly dependent (because  $\mathbf{a}_3 = \mathbf{a}_1 + \mathbf{a}_2$ ), the Fundamental Theorem of Invertible Matrices implies that  $\operatorname{null}(A + I) \neq \mathbf{0}$ . That is  $A\mathbf{x} = -1\mathbf{x}$  has a nontrivial solution, so -1 is an eigenvalue of A.

Since  $A\mathbf{x} = -1\mathbf{x}$  implies  $(A + I)\mathbf{x} = \mathbf{0}$ , we now compute null(A + I).

$$[A+I \mid \mathbf{0}] = \begin{bmatrix} 2 & 0 & 2 & | & 0 \\ -1 & 2 & 1 & | & 0 \\ 2 & 0 & 2 & | & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$  is an eigenvector corresponding to the eigenvalue -1, then  $x_1 = x_2 = -x_3$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} -x_3 \\ -x_3 \\ x_3 \end{bmatrix}$ , nonzero multiples of  $\mathbf{x} = \begin{bmatrix} -1 \\ -1 \\ 1 \end{bmatrix}$ .

Q: What does this tell us about  $\operatorname{null}(A+I)$ ? What about  $E_{-1}$ ?

A: The above shows  $\operatorname{null}(A+I) = \operatorname{span}\left(\begin{bmatrix} -1\\-1\\1\end{bmatrix}\right) = E_{-1}$ , the *eigenspace* of -1.

12. As in Example 4.2, we show  $\text{null}(A-2I) \neq \mathbf{0}$  then compute null(A-2I) to find  $\mathbf{x}$ .

Since  $A\mathbf{x} = 2\mathbf{x}$  implies  $(A - 2I)\mathbf{x} = \mathbf{0}$ , we have:

$$A - 2I = \begin{bmatrix} 3 & 1 & -1 \\ 1 & 1 & 1 \\ 4 & 2 & 0 \end{bmatrix} - \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{bmatrix} = \begin{bmatrix} 1 & 1 & -1 \\ 1 & -1 & 1 \\ 4 & 2 & -2 \end{bmatrix}$$

Since the columns of A-2I are clearly linearly dependent (because  $\mathbf{a}_3=-\mathbf{a}_2$ ), the Fundamental Theorem of Invertible Matrices implies that  $\mathrm{null}(A-2I)\neq 0$ . That is  $A\mathbf{x}=2\mathbf{x}$  has a nontrivial solution, so 2 is an eigenvalue of A.

Since  $A\mathbf{x} = 2\mathbf{x}$  implies  $(A - 2I)\mathbf{x} = \mathbf{0}$ , we now compute null(A - 2I).

$$[A - 2I \mid \mathbf{0}] = \begin{bmatrix} 1 & 1 & -1 & 0 \\ 1 & -1 & 1 & 0 \\ 4 & 2 & -2 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 0 \end{bmatrix}$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$  is an eigenvector corresponding to the eigenvalue 2, then  $x_1 = 0$ ,  $x_3 = x_2$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} 0 \\ x_2 \\ x_3 \end{bmatrix}$ , nonzero multiples of  $\mathbf{x} = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$ .

15. From the remarks prior to Example 4.4, we have the following key insight:  $\mathbf{x}$  is an eigenvector of A if and only if A transforms  $\mathbf{x}$  to a parallel vector. Why? Because then  $A\mathbf{x}$  and  $\mathbf{x}$  are multiples of each other. That is,  $A\mathbf{x} = \lambda \mathbf{x}$ . Recall that  $E_{\lambda} = \text{null}(A - \lambda I) = \{\text{eigenvectors of } \lambda\} \cup \{\text{the zero vector, } \mathbf{0}\}$ . We have to add the zero vector because eigenvectors are nonzero by definition.

Since  $A\mathbf{x} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x \\ 0 \end{bmatrix}$ , A is the matrix of projection P onto the x-axis.

Consider vectors  $\mathbf{v}$  parallel to the x-axis, parallel to the y-axis, and not parallel to either axis.

- x-axis: If  $\mathbf{v}$  is parallel to the x-axis, P transforms  $\mathbf{v}$  to itself. That is,  $P(\mathbf{v}) = \mathbf{v}$ . So, all nonzero vectors parallel to the x-axis are eigenvectors of A corresponding to 1.
- y-axis: If v is parallel to the y-axis, P transforms v to 0. That is, P(v) = 0. So, all nonzero vectors parallel to the y-axis are eigenvectors of A corresponding to 0.
- neither: If v is not parallel to either axis, P transforms v to a nonparallel vector. So, all nonzero vectors not parallel to either axis are not eigenvectors of A. So  $E_1 = \operatorname{span}(x\text{-axis}) = \operatorname{span}\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right)$  and  $E_0 = \operatorname{span}(y\text{-axis}) = \operatorname{span}\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right)$ .

Q: Given that the x-axis is a line, how might we generalize this result?

A: Hint: Consider vectors parallel, perpendicular and neither to the given line.

16. From the remarks prior to Example 4.4, we have the following key insight:  $\mathbf{x}$  is an eigenvector of A if and only if A transforms  $\mathbf{x}$  to a parallel vector. Why? Because then  $A\mathbf{x}$  and  $\mathbf{x}$  are multiples of each other. That is,  $A\mathbf{x} = \lambda \mathbf{x}$ . Recall that  $E_{\lambda} = \text{null}(A - \lambda I) = \{\text{eigenvectors of } \lambda\} \cup \{\text{the zero vector, 0}\}$ . We have to add the zero vector because eigenvectors are nonzero by definition.

From Example 3.59 in Section 3.6, we have:  $P_{\ell}(\mathbf{x}) = \frac{1}{d_1^2 + d_2^2} \begin{bmatrix} d_1^2 & d_1 d_2 \\ d_1 d_2 & d_2^2 \end{bmatrix}$ .

Since 
$$A\mathbf{x} = \frac{1}{25} \begin{bmatrix} 16 & 12 \\ 12 & 9 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \frac{1}{25} \begin{bmatrix} 16x + 12y \\ 12x + 9y \end{bmatrix} = \frac{4}{25}x \begin{bmatrix} 4 \\ 3 \end{bmatrix} + \frac{3}{25}y \begin{bmatrix} 4 \\ 3 \end{bmatrix}$$
,

A is the matrix of projection  $P_{\ell}$  onto line  $\ell$  with direction vector  $\mathbf{d} = \begin{bmatrix} 4 \\ 3 \end{bmatrix}$ .

Consider vectors  $\mathbf{v}$  parallel to  $\ell$ , perpendicular to  $\ell$ , and neither to direction vector  $\mathbf{d}$ .

- parallel: If v is parallel to  $\ell$ ,  $P_{\ell}$  transforms v to itself. That is,  $P_{\ell}(\mathbf{v}) = \mathbf{v}$ . So, all nonzero vectors parallel to  $\ell$  are eigenvectors of A corresponding to 1.
- perpendicular: If v is perpendicular to  $\ell$ ,  $P_{\ell}$  transforms v to 0. That is,  $P_{\ell}(\mathbf{v}) = \mathbf{0}$ . So, all nonzero vectors perpendicular to  $\ell$  are eigenvectors of A corresponding to 0.
  - neither: If v is neither parallel nor perpendicular,  $P_{\ell}$  transforms v to a nonparallel vector. So, all vectors not parallel or perpendicular to  $\ell$  are not eigenvectors of A.

$$E_1 = \operatorname{span}(\operatorname{parallel} \ \operatorname{to} \ \operatorname{\mathbf{d}}) = \operatorname{span}\left(\begin{bmatrix} 4\\3 \end{bmatrix}\right)$$
  
 $E_0 = \operatorname{span}(\operatorname{perpendicular} \ \operatorname{to} \ \operatorname{\mathbf{d}}) = \operatorname{span}\left(\begin{bmatrix} 3\\-4 \end{bmatrix}\right).$ 

17. From the remarks prior to Example 4.4, we have the following key insight:  $\mathbf{x}$  is an eigenvector of A if and only if A transforms  $\mathbf{x}$  to a parallel vector. Why? Because then  $A\mathbf{x}$  and  $\mathbf{x}$  are multiples of each other. That is,  $A\mathbf{x} = \lambda \mathbf{x}$ . Recall that  $E_{\lambda} = \text{null}(A - \lambda I) = \{\text{eigenvectors of } \lambda\} \cup \{\text{the zero vector, } \mathbf{0}\}$ . We have to add the zero vector because eigenvectors are nonzero by definition.

Since 
$$A\mathbf{x} = \begin{bmatrix} 2 & 0 \\ 0 & 3 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x \\ 3y \end{bmatrix}$$
,  $A$  is the matrix of stretching  $S$ .

Consider vectors  $\mathbf{v}$  parallel to the x-axis, parallel to the y-axis, and not parallel to either axis.

- x-axis: If v is parallel to the x-axis, S transforms v to twice itself. That is,  $S(\mathbf{v}) = 2\mathbf{v}$ . So, all nonzero vectors parallel to the x-axis are eigenvectors of A corresponding to 2.
- y-axis: If v is parallel to the y-axis, S transforms v to thrice itself. That is, S(v) = 3v. So, all nonzero vectors parallel to the y-axis are eigenvectors of A corresponding to 3.
- neither: If  $\mathbf{v}$  is not parallel to either axis, S transforms  $\mathbf{v}$  to a nonparallel vector. So, all vectors not parallel to either axis are not eigenvectors of A.

So 
$$E_2 = \operatorname{span}(x\text{-axis}) = \operatorname{span}\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right)$$
 and  $E_3 = \operatorname{span}(y\text{-axis}) = \operatorname{span}\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right)$ .

Q: Following this exact same process, how might we generalize this result?

A: If 
$$A = \begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}$$
, then  $E_a = \operatorname{span}(x\text{-axis})$  and  $E_d = \operatorname{span}(y\text{-axis})$ .

18. From the remarks prior to Example 4.4, we have the following key insight:  $\mathbf{x}$  is an eigenvector of A if and only if A transforms  $\mathbf{x}$  to a parallel vector. Why? Because then  $A\mathbf{x}$  and  $\mathbf{x}$  are multiples of each other. That is,  $A\mathbf{x} = \lambda \mathbf{x}$ . Recall that  $E_{\lambda} = \text{null}(A - \lambda I) = \{\text{eigenvectors of } \lambda\} \cup \{\text{the zero vector, } \mathbf{0}\}$ . We have to add the zero vector because eigenvectors are nonzero by definition.

From Example 3.58 in Section 3.6, we have: 
$$R_{\theta} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$
.

$$A\mathbf{x} = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} -y \\ x \end{bmatrix}, \text{ so } A \text{ is the matrix of rotation } R_{90^{\circ}}.$$

Consider the zero vector  $\mathbf{0}$  and all nonzero vectors  $\mathbf{v}$ .

- $\mathbf{v} = \mathbf{0}$ : Since a rotation leaves the zero vector fixed,  $R_{90^{\circ}}(\mathbf{0}) = \mathbf{0}$ . However, the zero vector is not an eigenvector of A corresponding to 0. Why not? Because the zero vector is zero and eigenvectors must be nonzero by definition.
- $\mathbf{v} \neq \mathbf{0}$ : A rotation transforms any nonzero vector to a nonparallel vector. So, all nonzero vectors are not eigenvectors of A when A is the matrix of any rotation.

Q: Is it still true that  $E_0 = \text{span}(0) = 0$ ?

A: Yes, because  $E_0 = \{\text{eigenvectors of } \lambda\} \cup \{\text{the zero vector, } 0\} = \{0\}.$ 

21. From the remarks prior to Example 4.4, we have the following key insight: **x** is an eigenvector of A if and only if A transforms **x** to a parallel vector. So, lines that do *not* bend at the unit circle represent eigenvectors. The extension beyond the circle tells us if the vector has been stretched.

Since the lines do not bend on the line  $\ell y = -x$  with direction vector  $\mathbf{d} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ ,

So, we consider vectors  $\mathbf{v}$  parallel to  $\mathbf{d}$ , perpendicular to  $\mathbf{d}$ , and neither.

parallel: On the line y=x, the lines do not bend and extend precisely 2 units beyond it. So: If v is parallel to the d, S transforms v to thrice itself. That is,  $S(\mathbf{v})=2\mathbf{v}$ . So, all nonzero vectors parallel to d are eigenvectors of A corresponding to 2.

perp: On the line y = -x, the lines extend precisely 0 units beyond the unit circle. So: If  $\mathbf{v}$  is perpendicular to the  $\mathbf{d}$ , S transforms  $\mathbf{v}$  to  $\mathbf{0}$ . That is,  $S(\mathbf{v}) = 0\mathbf{v}$ . So, all nonzero vectors perpendicular to  $\mathbf{d}$  are eigenvectors of A corresponding to 0.

neither: Off the lines y = x and y = -x, the lines do bend at the unit circle. So: If **v** is not parallel or perpendicular to **d**, S transforms **v** to a nonparallel vector. So, all vectors not parallel or perpendicular to **d** are not eigenvectors of A.

So  $E_2 = \operatorname{span}(d) = \operatorname{span}\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right)$  and  $E_0 = \operatorname{span}\left(\begin{bmatrix} 1 \\ -1 \end{bmatrix}\right)$ .

22. From the remarks prior to Example 4.4, we have the following key insight:
x is an eigenvector of A if and only if A transforms x to a parallel vector.
So, lines that do not bend at the unit circle represent eigenvectors.
Here, however, all lines bend at the unit circle, so we conclude there are no eigenvectors.

Q: What types of transformations have no eigenvectors?

A: Rotations. See Exercise 18. Is the graph in Exercise 22 suggestive of a rotation?

- **35.** (a) To find the eigenvalues of  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , we solve  $\det(A \lambda I) = 0 \Leftrightarrow \det\begin{bmatrix} a \lambda & b \\ c & d \lambda \end{bmatrix} = \lambda^2 (a + d)\lambda + (ad bc) = \lambda^2 \operatorname{tr}(A)\lambda + \det A = 0.$ 
  - (b) Using the quadratic formula, the solutions to the equation in part (a) are

$$\lambda = \frac{(a+d) \pm \sqrt{(a+d)^2 - 4(ad-bc)}}{2} = \frac{a+d \pm \sqrt{a^2 + d^2 + 2ad - 4ad + 4bc}}{2}$$
$$= \frac{1}{2} \left( a+d \pm \sqrt{(a-d)^2 + 4bc} \right).$$

- (c) Let  $\lambda_1 = \frac{1}{2}(a+d) + \sqrt{(a-d)^2 + 4bc}$ ) and  $\lambda_2 = \frac{1}{2}(a+d) \sqrt{(a-d)^2 + 4bc}$ ). So,  $\lambda_1 + \lambda_2 = \frac{1}{2}(a+d) + \frac{1}{2}(a+d) = a+d = \operatorname{tr}(A)$ . Also,  $\lambda_1 \lambda_2 = \frac{1}{4}[(a+d)^2 - ((a-d)^2 + 4bc)] = \frac{1}{4}[4ad - 4bc] = ad - bc = \det A$ .
- 36. (a) If A is to have two distinct real eigenvalues, the discriminant of the equation in Exercise 35(b) must be positive. That is,  $(a-d)^2 + 4bc > 0 \Leftrightarrow (a-d)^2 > -4bc$ . If a = d, then neither b nor c can equal zero if this inequality is to hold. If  $a \neq d$ , whenever b and c have the same sign this inequality holds.
  - (b) If A is to have one real eigenvalue, the discriminant must be zero. That is,  $(a-d)^2 + 4bc = 0 \Leftrightarrow (a-d)^2 = -4bc$ . If a=d, then neither b or c must be zero if this inequality is to hold.
  - (c) If A is to have no real eigenvalue, the discriminant must be negative. That is,  $(a-d)^2 + 4bc < 0 \Leftrightarrow (a-d)^2 < -4bc$ . If a=d, then neither b nor c can equal zero if this inequality is to hold. If  $a \neq d$ , then b and c must have opposite signs if this inequality is to hold.

37. As in Example 4.5, we find all solutions  $\lambda$  of the equation  $\det(A - \lambda I) = 0$ .

$$\det(A - \lambda I) = \det \begin{bmatrix} a - \lambda & b \\ 0 & d - \lambda \end{bmatrix} = \lambda^2 - (a + d)\lambda + ad$$

Since  $\lambda^2 - (a+d)\lambda + ad = (\lambda - a)(\lambda - d) = 0$ , the solutions are  $\lambda = a, d$ .

$$\lambda = a: \ A - aI = \begin{bmatrix} a - a & b \\ 0 & a - d \end{bmatrix} = \begin{bmatrix} 0 & b \\ 0 & a - d \end{bmatrix} \longrightarrow \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to  $a, x_1 = t, x_2 = 0$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} t \\ 0 \end{bmatrix}$ , nonzero multiples of  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ .

So, 
$$E_a = \text{null}(A - aI) = \text{span}\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right)$$
.

$$\lambda = d: \ A - dI = \begin{bmatrix} a - d & b \\ 0 & d - d \end{bmatrix} = \begin{bmatrix} a - d & b \\ 0 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} a - d & b \\ 0 & 0 \end{bmatrix}$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to d,  $(d-a)x_1 = bx_2 = (d-a)bt$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} bt \\ (d-a)t \end{bmatrix}$ , nonzero multiples of  $\begin{bmatrix} b \\ d-a \end{bmatrix}$ .

So, 
$$E_b = \text{null}(A - bI) = \text{span}\left(\begin{bmatrix} b \\ d - a \end{bmatrix}\right)$$
.

**38.** As in Example 4.5, we find all solutions  $\lambda$  of the equation  $\det(A - \lambda I) = 0$ .

$$\det(A - \lambda I) = \det \begin{bmatrix} a - \lambda & b \\ -b & a - \lambda \end{bmatrix} = \lambda^2 - 2a\lambda + a^2 + b^2$$

Since  $\lambda^2 - 2a\lambda + a^2 + b^2 = 0$  implies  $\lambda = \frac{2a \pm \sqrt{4a^2 - 4(a^2 + b^2)}}{2}$ , we have  $\lambda = a + bi, a - bi$ .

$$a+bi:\ A-(a+bi)I=\left[\begin{array}{cc}a-(a+bi)&b\\-b&a-(a+bi)\end{array}\right]=\left[\begin{array}{cc}-bi&b\\-b&-bi\end{array}\right]\longrightarrow\left[\begin{array}{cc}-i&1\\0&0\end{array}\right]$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to  $a, x_2 = ix_1$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} t \\ it \end{bmatrix}$ , nonzero multiples of  $\begin{bmatrix} 1 \\ i \end{bmatrix}$ .

So, 
$$E_{a+bi} = \text{null}(A - (a+bi)I) = \text{span}\left(\begin{bmatrix} 1 \\ i \end{bmatrix}\right)$$
.

$$a - bi: A - (a - bi)I = \begin{bmatrix} a - (a - bi) & b \\ -b & a - (a - bi) \end{bmatrix} = \begin{bmatrix} bi & b \\ -b & bi \end{bmatrix} \longrightarrow \begin{bmatrix} i & 1 \\ 0 & 0 \end{bmatrix}$$

If  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is an eigenvector corresponding to  $a, x_2 = -ix_1$ .

These eigenvectors are of the form  $\mathbf{x} = \begin{bmatrix} t \\ -it \end{bmatrix}$ , nonzero multiples of  $\begin{bmatrix} 1 \\ -i \end{bmatrix}$ .

So, 
$$E_{a-bi} = \text{null}(A - (a - bi)I) = \text{span}\left(\begin{bmatrix} 1 \\ -i \end{bmatrix}\right)$$
.