

Definition 1. A **metric space** M is a set X and a function $d : X \times X \rightarrow [0, \infty)$ such that $\forall x, y, z \in X$

1. $d(x, y) = 0$ iff $x = y$;
2. $d(x, y) = d(y, x)$ (d is symmetric);
3. $d(x, z) \leq d(x, y) + d(y, z)$ (triangle inequality).

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*Example 1.*  $\mathbb{R}$  with the **Euclidean metric** (the “standard” metric):

$X = \mathbb{R}$ ,  $d(x, y) = |x - y|$ . Why is this a metric space? What if we replace  $d$  with  $d(x, y) = x - y$ ; would we have a metric space?

*Example 2.*  $\mathbb{R}$  with the **discrete metric**, denoted  $\mathbb{R}_d$ :

$X = \mathbb{R}$ ,  $d(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$ . Why is this a metric space? How about  $d(x, y) = 0 \forall x, y$ ?

*Example 3.*  $\mathbb{R}^n$  with the **Euclidean metric** :

$X = \mathbb{R} \times \cdots \times \mathbb{R}$  ( $n$  times), for  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$ ,  $d(x, y) = \sqrt{(x_1 - y_1)^2 + \cdots + (x_n - y_n)^2}$ . Why is this a metric space? (Do in HW)

*Example 4.*  $\mathbb{R}^2$  with the **taxicab metric** :

$X = \mathbb{R}^2$ , for  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$ ,  $d(a, b) = |a_1 - b_1| + |a_2 - b_2|$ . Why is this a metric space?

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Note.

1. Unless stated otherwise, whenever we refer to \mathbb{R} as a metric space without stating what the metric function d is, we mean “ \mathbb{R} with the Euclidean metric.”
2. For a metric space $M = (X, d)$, X is called **the underlying set**. We will often abuse notation and write M instead of X , or vice versa; for example, we may write $x \in M$ instead of $x \in X$; or we may refer to X as a metric space, when it’s really $M = (X, d)$ that’s a metric space.

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*Definition 2.* Given a metric space  $M$ , a point  $x \in M$ , and a real number  $r \geq 0$ , the **ball** of radius  $r$  around  $x$  is defined as

$$B_r(x) = \{y \in M \mid d(x, y) < r\}$$

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Example 5. In \mathbb{R} with the Euclidean metric, $B_2(1) = ?$ ¹

Example 6. In \mathbb{R}^2 with the Euclidean metric, what does $B_2(1, 2)$ look like? (Strictly speaking, we should write $B_2((1, 2))$; but too many parentheses can make it difficult to read, so we slightly abuse notation and write only one set of parentheses.) How about $B_2(1, 2) \subset \mathbb{R}^3$, what does it look like?

Example 7. In \mathbb{R}_d , what is $B_3(8)$? What is $B_{0.5}(8)$? ²

Example 8. In \mathbb{R}^2 with the taxicab metric, what does $B_1(0, 0)$ look like?

Example 9. Is there a metric on \mathbb{R}^2 for which $B_1(0, 0) = (-1, 1) \times (-1, 1)$? ³

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*Definition 3.* A subset  $A$  of a metric space  $M$  is said to be **open** in  $M$  iff  $\forall x \in A$ ,  $\exists r > 0$  such that  $B_r(x) \subset A$ .

<sup>1</sup>The open interval from  $-1$  to  $3$ :  $(-1, 3)$ .

<sup>2</sup> $B_3(8) = \mathbb{R}$  ;  $B_{0.5}(8) = \{8\}$ .

<sup>3</sup> $d(a, b) = \max\{|a_1 - b_1|, |a_2 - b_2|\}$ .

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Example 10. The interval $(-1, 1]$ is not open in \mathbb{R} . Why? ⁴

Example 11. The interval $(-1, 1)$ is an open subset of \mathbb{R} . Why?

Proof: Given an arbitrary $x \in (-1, 1)$, let $r = \min\{d(x, 1), d(x, -1)\}$. Then $B_r(x) \subset (-1, 1)$, because: Let $y \in B_r(x)$; we'll show $y \in (-1, 1)$. We will do so by showing that $d(0, y) < 1$. By definition of $B_r(x)$, $d(x, y) < r$; so $d(x, y) < \min\{d(x, 1), d(x, -1)\}$; so $d(x, y) < d(x, 1)$ and $d(x, y) < d(x, -1)$. By triangle inequality, $d(0, y) \leq d(0, x) + d(x, y)$. So, $d(0, y) < d(0, x) + d(x, 1)$ and $d(0, y) < d(0, x) + d(x, -1)$. If $x \geq 0$, then the right hand side of the first inequality equals 1. If $x < 0$, then the left hand side of the second inequality equals 1. So either way, $d(0, y) < 1$, as desired. We showed that for every $x \in (-1, 1)$, there is a positive r such that $B_r(x) \subset (-1, 1)$. So by the definition of open, $(-1, 1)$ is an open subset of \mathbb{R} .

Example 12. Is the interval $(2, \infty)$ open in \mathbb{R} ? Yes. Why?

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*Definition 4.* Let  $A$  be a subset of a metric space  $M$ . The **complement** of  $A$  is  $A^c = M - A$ .  $A$  is said to be **closed** in  $M$  iff its complement  $A^c$  is open in  $M$ .

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Example 13. $(-\infty, -1] \cup [1, \infty)$ is closed in \mathbb{R} . Why?

Example 14. Is $(-\infty, -1]$ closed in \mathbb{R} ? ⁵

Example 15. Is $[-1, 1]$ closed in \mathbb{R} ? ⁶

Example 16. $[-1, 1)$ is neither open nor closed in \mathbb{R} . Why?

Example 17. \mathbb{R} is open in \mathbb{R} . ϕ is open in \mathbb{R} . Why?

Example 18. \mathbb{R} is closed in \mathbb{R} . ϕ is closed in \mathbb{R} . Why?

Example 19. Is \mathbb{R} open or closed or neither in \mathbb{R}^2 ? ⁷

Example 20. Find an open set in \mathbb{R}_d . Find a closed set in \mathbb{R}_d . ⁸

(Quote from Munkres's book, *Topology*: Q: "What's the difference between a door and a set?" A: "A door is always either open or closed.")

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For emphasis,  $B_r(x)$  is sometimes called the *open* ball of radius  $r$  around  $x$ . In contrast, we have:

*Definition 5.* The **closed ball** of radius  $r$  around  $x$  is defined as

$$\overline{B_r(x)} = \{y \in M \mid d(x, y) \leq r\}$$

*Example 21.* Draw the open and closed balls of radius 5 around the point 2 in  $\mathbb{R}$ . Draw the open and closed balls of radius 5 around the point  $(2, 5)$  in  $\mathbb{R}^2$ .

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Definition 6. Let A be a subset of a metric space M . A point $x \in M$ is said to be a **limit point** of A iff every ball around x contains a point of A other than x .

(Synonyms of *limit point*: cluster point; accumulation point.)

⁴Because there is no positive r for which $B_r(1) \subset (-1, 1]$.

⁵Yes. Why?

⁶Yes. Why?

⁷Closed. Why?

⁸Each of \mathbb{R}_d and ϕ is both open and closed.

Example 22. Let $M = \mathbb{R}$, $A = [0, 2)$. Which of the points $x = 0, 1, 2, 3$ are limit points of A ? Why? ⁹
What if $A = [0, 1] \cup \{2\}$? ¹⁰

(Equivalent definition of limit point: x is a limit point of A iff $\forall \epsilon > 0, \exists y \in A - \{x\}$ such that $d(x, y) < \epsilon$.)

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*Theorem 1.* A subset  $A$  of a metric space  $M$  is closed iff it contains all its limit points.

*Proof.* “ $\Rightarrow$ ” : Suppose  $A$  is closed. Then, by definition,  $A^c$  is open. Let  $x$  be a limit point of  $A$ . We want to show  $x \in A$ . By definition of limit point, every open ball around  $x$  intersects  $A - \{x\}$ ; therefore no open ball around  $x$  is entirely contained in  $A^c$ . This implies  $x \notin A^c$ , since if  $x$  were in  $A^c$ , then there would be an open ball around  $x$  contained entirely in  $A^c$  (since  $A^c$  is open). Finally, since  $x \notin A^c$ ,  $x$  must be in  $A$ , as desired.

“ $\Leftarrow$ ” : (Do yourself!) □

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Definition 7. Given a subset A of a metric space M , its **interior** A° is defined as the set of all points $x \in A$ such that some open ball around x is a subset of A .

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*Example 23.* (a) What is the interior of  $[2, 5) \subset \mathbb{R}$ ? <sup>11</sup>

(b) What is the interior of  $(2, 5) \subset \mathbb{R}$ ? <sup>12</sup>

(c) What is the interior of the closed ball of radius 2 around the origin in  $\mathbb{R}^2$ ? <sup>13</sup>

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Definition 8. Given a subset A of a metric space M , its **closure** \overline{A} is defined as A union the set of all limit points of A . The **boundary** of A is defined as $\partial A = \overline{A} - A^\circ$.

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*Example 24.* (a) What are the closure and boundary of  $[2, 5) \subset \mathbb{R}$ ? <sup>14</sup>

(b) What is the closure and boundary of the closed ball of radius 2 around the origin in  $\mathbb{R}^2$ ? <sup>15</sup>

## Continuity

*Definition 9.* Let  $M_1, M_2$  be metric spaces, with  $d_1$  and  $d_2$  as their corresponding distance functions. A function  $f : M_1 \rightarrow M_2$  is said to be **continuous at**  $a \in M_1$  iff as  $x \rightarrow a$ ,  $f(x) \rightarrow f(a)$ ; this means:  $\forall \epsilon > 0, \exists \delta > 0$  such that for every  $x$  that satisfies  $d_1(a, x) < \delta$  we have  $d_2(f(a), f(x)) < \epsilon$ ; or, equivalently,  $f(B_\delta(a)) \subset B_\epsilon(f(a))$ . We say  $f$  is **continuous** if it is continuous at every point in  $M_1$ .

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Example 25. Prove that $f : \mathbb{R} \rightarrow \mathbb{R}$ defined by $f(x) = 2x$ is continuous.

Proof: Fix an arbitrary point $p \in \mathbb{R}$. We will show f is continuous at p , by showing that $\forall \epsilon > 0, \exists \delta > 0$ such that $\forall q \in B_\delta(p), f(q) \in B_\epsilon(f(p))$.

⁹0, 1 and 2.

¹⁰0 and 1.

¹¹(2, 5).

¹²(2, 5).

¹³the open ball of radius 2 around the origin.

¹⁴closure = $[2, 5]$; boundary = $\{2, 5\}$.

¹⁵closure = itself; boundary = circle of radius 2 around the origin.

Pick any $\epsilon > 0$. Let $\delta = \epsilon/2$. Then, for any $q \in B_\delta(p)$ we have: $d(f(p), f(q)) = |2p - 2q| = 2|p - q| < 2\delta = \epsilon$. So $f(q) \in B_\epsilon(f(p))$, as desired. Since p was arbitrary, f is continuous at every point in \mathbb{R} .

Example 26. Determine whether each of the following functions f and g from \mathbb{R} to \mathbb{R} is continuous at 0. (Support your answers informally, without rigorous proof.)

$$f(x) = \begin{cases} \sin(1/x) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases} \quad g(x) = \begin{cases} x \sin(1/x) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$$

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