

Recall: How many connected 1-mfds are there? ¹ It turns out that there are a lot more connected 2-mfds; in fact, there are infinitely many of them. Nevertheless, we can *classify* them, which roughly means we can systematically list them all, without any repetitions. (We'll soon have a better idea of what this means.) We will first concentrate only on 2-manifolds that are closed and can be embedded in \mathbb{R}^3 ; next, those that are closed but cannot be embedded in \mathbb{R}^3 ; and finally non-closed 2-mfds, but only compact ones. Non-compact 2-manifolds are more difficult to describe, and we'll skip them.

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### Closed surfaces that are embeddable in $\mathbb{R}^3$

*Example 1.* What is the definition of a *closed* manifold? <sup>2</sup> Which surfaces (i.e. 2-manifolds) have we seen so far that are closed and can be embedded in  $\mathbb{R}^3$ ? Think before reading the following theorem!

*Theorem 1.* A closed 2-manifold can be embedded in  $\mathbb{R}^3$  iff it is homeomorphic to  $S^2$  or to an  $n$ -hole torus (= the connected sum of  $n$  tori) for some  $n \geq 1$ . Proof: Omitted

*Definition 1.* Let  $(X, d)$  be a metric space, and let  $A \subset X$ . Given  $\epsilon > 0$ , the  $\epsilon$ -neighborhood of  $A$  in  $X$  is defined as the set of all points in  $X$  whose distance is less than  $\epsilon$  from some point in  $A$ :  $N_\epsilon(A) = \{x \in X \mid d(x, a) < \epsilon \text{ for some } a \in A\}$ .

*Example 2.* Let  $A$  be the horizontal line  $y = 2$  in  $\mathbb{R}^2$ , and let  $\epsilon = 0.1$ . What is  $N_\epsilon(A)$ ? What is  $\partial(N_\epsilon(A))$ , the boundary of the closure of the  $\epsilon$ -neighborhood of  $A$ ? <sup>3</sup>

*Example 3.* Let  $A = \{(x, y, z) \in \mathbb{R}^3 \mid 0 \leq x, y, z \leq 1 \text{ and at least two of } x, y, z \text{ are in the set } \{0, 1\}\}$ . Draw a picture of  $A$ . <sup>4</sup> Let  $F = \partial(\overline{N_{0.1}(A)})$ . Draw a picture of  $F$ .  $F$  is a closed surface  $\subset \mathbb{R}^3$ . So, according to the theorem above,  $F$  is homeomorphic to an  $n$ -hole torus for some  $n$  (since  $F$  is clearly not homeomorphic to  $S^2$ ). You will find  $n$  in the homework assignment.

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Closed surfaces that are *not* embeddable in \mathbb{R}^3

Example 4. Let $X = [0, 1]^2 / \{(x, 0) \sim (x, 1), (0, y) \sim (1, y)\}$. Is X a 2-manifold? Is X embeddable in \mathbb{R}^3 ? ⁵

In the following questions, you should be able to answer whether or not the given quotient space is a manifold. Determining whether or not it can be embedded in \mathbb{R}^3 is more difficult, and you may not be able to see why.

Let $M = [0, 1]^2 / \{(0, y) \sim (1, 1 - y)\}$. Is M a 2-manifold? Can you embed M in \mathbb{R}^3 ?

Let $K = [0, 1]^2 / \{(x, 0) \sim (x, 1), (0, y) \sim (1, 1 - y)\}$. Is K a 2-manifold? Can you embed K in \mathbb{R}^3 ?

Let $P = [0, 1]^2 / \{(x, 0) \sim (1 - x, 1), (0, y) \sim (1, 1 - y)\}$. Is P a 2-manifold? Can you embed P in \mathbb{R}^3 ? ⁶

Definition 2. $M = [0, 1]^2 / \{(0, y) \sim (1, 1 - y)\}$ is called the **Möbius band** (or Möbius strip). $K = [0, 1]^2 / \{(x, 0) \sim (x, 1), (0, y) \sim (1, 1 - y)\}$ is called the **Klein bottle**. $P = [0, 1]^2 / \{(x, 0) \sim (1 - x, 1), (0, y) \sim (1, 1 - y)\}$ is called the **projective plane**, more commonly denoted by \mathbb{RP}^2 .

Remark. The projective plane is often also referred to as the *real projective plane*. This is in contrast to the *complex projective plane* \mathbb{CP}^2 , which we will not be studying.

¹Only four: S^1 , $[a, b]$, $[a, b)$, (a, b) .

²Compact, with no boundary.

³ $N_\epsilon(A) = \{(x, y) \in \mathbb{R}^2 \mid 1.9 < y < 2.1\}$. $\partial(\overline{N_\epsilon(A)})$ consists of the two lines $y = 1.9$ and $y = 2.1$.

⁴ A consists of the 12 edges of a cube.

⁵Yes to both; X is a torus.)

⁶ M , K , and P are all 2-manifolds. Only M can be embedded in \mathbb{R}^3 .

Theorem 2. The Projective Plane and the Klein Bottle cannot be embedded in \mathbb{R}^3 . Proof omitted.

Theorem 3. (1) The boundary of a Möbius band is a circle: $\partial M \simeq S^1$. (2) Gluing a Möbius band and a closed disk along their circle-boundaries yields a projective plane: $M \cup_{\partial} \overline{D^2} \simeq \mathbb{RP}^2$. (3) Gluing two Möbius bands along their circle-boundaries yields a Klein bottle: $M \cup_{\partial} M \simeq K$.

Proof. (Sketch)

(1) By definition, $M = [0, 1]^2 / \{(0, y) \sim (1, 1 - y)\}$. Therefore, ∂M consists of those points in $\partial([0, 1]^2)$ that are *not* identified with any other point—except that the four corners of the square *are*, after being pairwise identified, in ∂M . So $\partial M = ([0, 1] \times \{0\} \cup [0, 1] \times \{1\}) / \{(0, 0) \sim (1, 1), (1, 0) \sim (0, 1)\}$, which is homeomorphic to a circle.

(2) It's enough to show $M \simeq \mathbb{RP}^2 - D^2$, as in the following diagrams.

(3) Homework. □

Theorem 4. A closed 2-manifold cannot be embedded in \mathbb{R}^3 iff it is homeomorphic to the connected sum of n projective planes for some $n \geq 1$. Proof: Omitted.

Definition 3. For $n \geq 1$, the **n -hole torus** is the connected sum of n tori, denoted by nT^2 . Similarly, the connected sum of n projective planes is denoted by $n\mathbb{RP}^2$.

Corollary 5. Every closed 2-manifold is homeomorphic to either S^2 or nT^2 or $n\mathbb{RP}^2$, for some $n \geq 1$.

Example 5. According to the above corollary, $T^2 \# \mathbb{RP}^2$ is homeomorphic to either S^2 or nT^2 or $n\mathbb{RP}^2$, for some n . Which is it? The next theorem answers this.

Theorem 6. $T^2 \# \mathbb{RP}^2 \simeq 3\mathbb{RP}^2$. Proof: Homework.

Corollary 7. $T^2 \# \mathbb{RP}^2 \simeq K \# \mathbb{RP}^2$. Proof: Homework.

The above corollary may seem to suggest that $T^2 \simeq K$, which is not true!

Theorem 8. A torus is not homeomorphic to a Klein bottle. Proof: Homework.

Theorem 9. $S^2 \not\simeq \mathbb{RP}^2$. Proof: Homework.

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You will need the following definition for the homework assignment.

*Definition 4.* Let  $A$  be a subset of a connected topological space  $X$ . To say  $A$  **separates**  $X$  means  $X - A$  is not connected.

*Example 6.* Does  $S^1$  separate  $\mathbb{R}^2$ ? Does  $[0, \infty)$  separate  $\mathbb{R}^2$ ? Is there a non-separating embedded circle on the torus? How about a separating one? <sup>7</sup>

<sup>7</sup>Y, N, Y, Y. Draw pictures!