

*Definition 1.* A **metric space** consists of a set  $X$  and a **distance function**  $d : X \times X \rightarrow [0, \infty)$  such that  $\forall x, y, z \in X$

1.  $d(x, y) = 0$  iff  $x = y$ ;
2.  $d(x, y) = d(y, x)$  ( $d$  is symmetric);
3.  $d(x, z) \leq d(x, y) + d(y, z)$  (triangle inequality).

*Example 1.*  $\mathbb{R}$  with the **Euclidean metric** (the “standard” metric):

$X = \mathbb{R}$ ,  $d(x, y) = |x - y|$ . Why is this a metric space?

If instead we had  $d(x, y) = x - y$ , would we still have a metric space?

*Example 2.*  $\mathbb{R}$  with the **discrete metric**, denoted  $\mathbb{R}_d$ :

$X = \mathbb{R}$ ,  $d(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$ . Why is this a metric space?

What if we let  $d(x, y) = 0$  for all  $x, y$ , is it still a metric space?

*Example 3.*  $\mathbb{R}^n$  with the **Euclidean metric** :

$X = \mathbb{R} \times \cdots \times \mathbb{R}$  ( $n$  times); for  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$ ,  $d(x, y) = \sqrt{(x_1 - y_1)^2 + \cdots + (x_n - y_n)^2}$ .

Why is this a metric space? Conditions 1 and 2 of the definition (above) are clearly satisfied. Condition 3 is the well-known triangle inequality (skip proof).

*Example 4.*  $\mathbb{R}^2$  with the **taxicab metric** :

$X = \mathbb{R}^2$ , for  $a = (a_1, a_2)$ ,  $b = (b_1, b_2)$ ,  $d(a, b) = |a_1 - b_1| + |a_2 - b_2|$ . Why is this a metric space? (HW)

*Note.*

1. Unless stated otherwise, whenever we refer to  $\mathbb{R}$  as a metric space without stating what the distance function  $d$  is, we mean “ $\mathbb{R}$  with the Euclidean metric.”
2. For a metric space  $(X, d)$ ,  $X$  is called **the underlying set**. Sometimes we abuse notation and just write  $X$  instead of  $(X, d)$ .

*Definition 2.* Given a metric space  $(X, d)$ , a point  $x \in X$ , and a real number  $r > 0$ , the **ball** of radius  $r$  around  $x$  is defined as

$$B_r(x) = \{y \in X \mid d(x, y) < r\}$$

*Example 5.* In  $\mathbb{R}$  with the Euclidean metric,  $B_2(1) = ?$  <sup>1</sup>

*Example 6.* In  $\mathbb{R}^2$  with the Euclidean metric, what does  $B_2(1, 2)$  look like? (Strictly speaking, we should write  $B_2((1, 2))$ ; but too many parentheses can make it difficult to read, so we slightly abuse notation and write only one set of parentheses.) How about  $B_2(1, 2) \subset \mathbb{R}^3$ , what does it look like?

*Example 7.* In  $\mathbb{R}_d$ , what is  $B_3(8)$  ? What is  $B_{0.5}(8)$  ? <sup>2</sup>

*Example 8.* In  $\mathbb{R}^2$  with the taxicab metric, what does  $B_1(0, 0)$  look like?

*Example 9.* Is there a metric on  $\mathbb{R}^2$  for which  $B_1(0, 0) = (-1, 1) \times (-1, 1)$  ? <sup>3</sup>

*Definition 3.* A subset  $A$  of a metric space  $X$  is said to be **open** in  $X$  iff  $\forall x \in A$ ,  $\exists r > 0$  such that  $B_r(x) \subset A$ .

<sup>1</sup>The open interval from  $-1$  to  $3$ :  $(-1, 3)$ .

<sup>2</sup> $B_3(8) = \mathbb{R}$  ;  $B_{0.5}(8) = \{8\}$ .

<sup>3</sup> $d(a, b) = \max\{|a_1 - b_1|, |a_2 - b_2|\}$ .

*Example 10.* The interval  $(-1, 1]$  is not open in  $\mathbb{R}$ . Why? <sup>4</sup>

*Example 11.* The interval  $(-1, 1)$  is an open subset of  $\mathbb{R}$ . Why?

Proof: Given an arbitrary  $x \in (-1, 1)$ , let  $r = \min\{d(x, 1), d(x, -1)\}$ . Then, we prove as follows that  $B_r(x) \subset (-1, 1)$ . Let  $y \in B_r(x)$ ; we'll show  $y \in (-1, 1)$ . We will do so by showing that  $d(0, y) < 1$ . By definition of  $B_r(x)$ ,  $d(x, y) < r$ ; so  $d(x, y) < \min\{d(x, 1), d(x, -1)\}$ ; so  $d(x, y) < d(x, 1)$  and  $d(x, y) < d(x, -1)$ . By the triangle inequality,  $d(0, y) \leq d(0, x) + d(x, y)$ . So,  $d(0, y) < d(0, x) + d(x, 1)$  and  $d(0, y) < d(0, x) + d(x, -1)$ . If  $x \geq 0$ , then the right hand side of the first inequality equals 1. If  $x < 0$ , then the left hand side of the second inequality equals 1. So either way,  $d(0, y) < 1$ , as desired. We showed that for every  $x \in (-1, 1)$ , there is a positive  $r$  such that  $B_r(x) \subset (-1, 1)$ . So by the definition of open,  $(-1, 1)$  is an open subset of  $\mathbb{R}$ .

*Example 12.* Is the interval  $(2, \infty)$  open in  $\mathbb{R}$ ? Yes. Why?

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*Definition 4.* Let  $A$  be a subset of a metric space  $X$ . The **complement** of  $A$  is  $A^c = X - A$ .  $A$  is said to be **closed** in  $X$  iff its complement  $A^c$  is open in  $X$ .

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*Example 13.*  $(-\infty, -1] \cup [1, \infty)$  is closed in  $\mathbb{R}$ . Why?

*Example 14.* Is  $(-\infty, -1]$  closed in  $\mathbb{R}$ ? <sup>5</sup>

*Example 15.* Is  $[-1, 1]$  closed in  $\mathbb{R}$ ? <sup>6</sup>

*Example 16.*  $[-1, 1)$  is neither open nor closed in  $\mathbb{R}$ . Why?

*Example 17.*  $\mathbb{R}$  is open in  $\mathbb{R}$ . Why?  $\phi$  is open in  $\mathbb{R}$ . Why?

*Example 18.*  $\mathbb{R}$  is closed in  $\mathbb{R}$ .  $\phi$  is closed in  $\mathbb{R}$ . Why?

*Example 19.* Is the  $x$ -axis open or closed or neither in  $\mathbb{R}^2$ ? <sup>7</sup>

*Example 20.* Find an open set in  $\mathbb{R}_d$ . Find a closed set in  $\mathbb{R}_d$ . <sup>8</sup>

(Quote from Munkres's book, *Topology*: Q: "What's the difference between a door and a set?" A: "A door is always either open or closed.")

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For emphasis,  $B_r(x)$  is sometimes called the *open ball* of radius  $r$  around  $x$ . In contrast, we have:

*Definition 5.* The **closed ball** of radius  $r$  around  $x$  is defined as

$$\overline{B_r(x)} = \{y \in X \mid d(x, y) \leq r\}$$

*Example 21.* Draw the open and closed balls of radius 5 around the point 2 in  $\mathbb{R}$ . Draw the open and closed balls of radius 5 around the point  $(2, 5)$  in  $\mathbb{R}^2$ .

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*Definition 6.* Let  $A$  be a subset of a metric space  $X$ . A point  $x \in X$  is said to be a **limit point** of  $A$  iff every ball around  $x$  contains a point of  $A$  other than  $x$ .

(Other names used for *limit point*: cluster point; accumulation point.)

*Example 22.* Let  $X = \mathbb{R}$ ,  $A = [0, 2)$ . Which of the points  $x = 0, 1, 2, 3$  are limit points of  $A$ ? Why? <sup>9</sup>  
What if  $A = [0, 1] \cup \{2\}$ ? <sup>10</sup>

(Equivalent definition of limit point:  $x$  is a limit point of  $A$  iff  $\forall \epsilon > 0, \exists y \in A - \{x\}$  such that  $d(x, y) < \epsilon$ .)

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<sup>4</sup>Because there is no positive  $r$  for which  $B_r(1) \subset (-1, 1]$ .

<sup>5</sup>Yes. Why?

<sup>6</sup>Yes. Why?

<sup>7</sup>Closed. Why?

<sup>8</sup>Each of  $\mathbb{R}_d$  and  $\phi$  is both open and closed.

<sup>9</sup>0, 1 and 2.

<sup>10</sup>0 and 1.

*Theorem 1.* A subset  $A$  of a metric space  $X$  is closed iff it contains all its limit points.

*Proof.* “ $\Rightarrow$ ” : Suppose  $A$  is closed. Then, by definition,  $A^c$  is open. Let  $x$  be a limit point of  $A$ . We want to show  $x \in A$ . By definition of limit point, every open ball around  $x$  intersects  $A - \{x\}$ ; therefore no open ball around  $x$  is entirely contained in  $A^c$ . This implies  $x \notin A^c$ , since if  $x$  were in  $A^c$ , then there would be an open ball around  $x$  contained entirely in  $A^c$  (since  $A^c$  is open). Finally, since  $x \notin A^c$ ,  $x$  must be in  $A$ , as desired.

“ $\Leftarrow$ ” : (Do yourself!) □

*Definition 7.* Given a subset  $A$  of a metric space  $X$ , its **interior**  $A^\circ$  is defined as the set of all points  $x \in A$  such that some open ball around  $x$  is a subset of  $A$ . ( $A^\circ$  is also written as  $\text{Int } A$  or  $\text{int}(A)$ .)

*Example 23.* (a) What is the interior of  $[2, 5) \subset \mathbb{R}$  ? <sup>11</sup>

(b) What is the interior of  $(2, 5) \subset \mathbb{R}$  ? <sup>12</sup>

(c) What is the interior of the closed ball of radius 2 around the origin in  $\mathbb{R}^2$  ? <sup>13</sup>

*Definition 8.* Given a subset  $A$  of a metric space  $X$ , its **closure**  $\bar{A}$  is defined as  $A$  union the set of all limit points of  $A$ . The **boundary** of  $A$  is defined as  $\partial A = \bar{A} - A^\circ$ .

*Example 24.* (a) What are the closure and boundary of  $[2, 5) \subset \mathbb{R}$  ? <sup>14</sup>

(b) What are the closure and boundary of the closed ball of radius 2 around the origin in  $\mathbb{R}^2$  ? <sup>15</sup>

## Continuity

*Definition 9.* Let  $X_1, X_2$  be metric spaces, with  $d_1$  and  $d_2$  as their corresponding distance functions. A function  $f : X_1 \rightarrow X_2$  is said to be **continuous at**  $a \in X_1$  iff as  $x \rightarrow a$ ,  $f(x) \rightarrow f(a)$ ; this means:  $\forall \epsilon > 0, \exists \delta > 0$  such that for every  $x$  that satisfies  $d_1(a, x) < \delta$  we have  $d_2(f(a), f(x)) < \epsilon$ . We say  $f$  is **continuous** if it is continuous at every point in  $X_1$ .

*Example 25.* Prove that  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = 2x$  is continuous.

*Proof:* Fix an arbitrary point  $a \in \mathbb{R}$ . We will show  $f$  is continuous at  $a$  by showing that  $\forall \epsilon > 0, \exists \delta > 0$  such that for every  $x$  that satisfies  $d(a, x) < \delta$  we have  $d(f(a), f(x)) < \epsilon$ .

Pick any  $\epsilon > 0$ . Let  $\delta = \epsilon/2$ . Then, for every  $x$  that satisfies  $d(a, x) < \delta$  we have:  $|a - x| < \delta$ , so  $|2a - 2x| < 2\delta$ , so  $d(f(a), f(x)) < \epsilon$ , as desired. Since  $a$  was an arbitrary point,  $f$  is continuous at every point in  $\mathbb{R}$ .

*Example 26.* Determine whether each of the following functions  $f$  and  $g$  from  $\mathbb{R}$  to  $\mathbb{R}$  is continuous at 0. (Support your answers informally, without rigorous proof.)

$$f(x) = \begin{cases} \sin(1/x) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases} \quad g(x) = \begin{cases} x \sin(1/x) & \text{if } x \neq 0 \\ 0 & \text{if } x = 0 \end{cases}$$

<sup>11</sup> $(2, 5)$ .

<sup>12</sup> $(2, 5)$ .

<sup>13</sup>the open ball of radius 2 around the origin.

<sup>14</sup>closure =  $[2, 5]$ ; boundary =  $\{2, 5\}$ .

<sup>15</sup>closure = itself; boundary = circle of radius 2 around the origin.